



*Streamlined solutions meeting the legal, compliance and risk management needs of your business.*

## Consultancy Overview

Lexakos specializes in business consulting for law, IT and compliance departments. With its unique, multi-discipline team, and personalized approach, Lexakos helps clients formulate root-cause strategies to mitigate compliance, financial and reputational risks, while reducing costs and improving operational efficiency.

### Expertise

Founder Richard Wolf's industry leadership and hands-on experience sets Lexakos apart from other auditing, consultancy and traditional professional services firms. Lexakos clients benefit from unparalleled experience in legal and regulatory compliance. Our advisory team includes some of the top corporate talent in the field, with decades of experience in anti-money laundering, risk management, information security, and compliance policy deployment.

### Recognition

*Compliance Week*, the leading publication and information service on corporate governance, risk and compliance, has looked to Lexakos for analysis on significant compliance issues over the past few years. In 2009, *Compliance Week* featured Lexakos' most recent corporate law department strategic planning survey. We frequently speak at compliance industry events where our subject matter expertise is requested.

### Process

Lexakos consultants are experienced executives who understand corporate culture and use practice-tested methodologies that are effective, logical and scalable. Each engagement contemplates four stages of analysis.

1. **Best Practices/Gaps**– assess general compliance areas, identify opportunities, and prioritize risks
2. **Project Definition** – develop business case, consider alternatives, project plan and pro forma budget
3. **Appraisal** – RFPs, finalize budget and project plan, buy-v-build analysis, address resource planning
4. **Execution** – deploy project team based on approved budget and plan

The Lexakos approach provides management with clear vision and fiscally responsible planning. Cost-effectiveness is always at the forefront of any Lexakos project, surprises are kept to a minimum. Areas for engagement include:

#### ***Risk management and compliance***

- Protocols for monitoring corporate compliance programs and measuring performance effectiveness
- Customized scorecards and dashboards for tracking key metrics to show ROI and risk areas management and outside constituents such as rating agencies, insurers, regulators and courts
- SOX controls and other critical regulatory compliance areas including SEC, FINRA, HIPPA, DHS, FCPA

#### ***Information management***

- Enterprise record-management policies and procedures that integrate with organizational culture
- Complex data migration and business consolidation procedures for mergers and acquisitions
- Secure compliance and legal-information portals to rationalize contract and litigation management
- Discovery compliance and cost-containment through automated matter-management systems
- Data maps for identifying and tracking where information is stored
- Lifecycle procedures for legacy paper and e-mail archives tracking and disposition programs

#### ***Litigation management and e-discovery***

- Law department convergence programs to contain spending and improve operational efficiencies
- Support for outside counsel, courts and regulators on intricacies of corporate information systems
- Legal hold management controls for litigation and secure information disposition clearances
- Consulting experts for legal discovery, preservation, collection and production protocols, e discovery plans, and negotiating compliance, and early disclosure requirements under FRCP
- Training specialized staff to serve as part-time or full-time internal e-discovery teams

For more information or to discuss how Lexakos can help your organization, please *call* (973) 324-0050; *email* [information@lexakos.com](mailto:information@lexakos.com) or *visit* [www.lexakos.com](http://www.lexakos.com).